

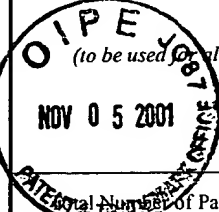
Please type a plus sign (+) inside this box → [+]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

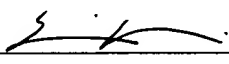
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TRANSMITTAL FORM (to be used for all correspondence after initial filing) 	Application Number	09/239,948	
	Filing Date	January 29, 1999	
	First Named Inventor	Shunpei YAMAZAKI et al.	
	Group Art Unit	2811	
	Examiner Name	G. Munson	
Attorney Number of Pages in This Submission		Attorney Docket Number	740756-1930

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	<u>Eric J. Robinson, Reg. No. 38,285</u> Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22012
Signature	
Date	11-5-01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 	
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

NOV 05 2001

TOTAL AMOUNT OF PAYMENT

\$110.00

Complete Known

Application Number 09/239,946

Filing Date January 29, 1999

First Named Inventor Shunpei YAMAZAKI et al.

Examiner Name G. MUNSON

Group Art Unit 2811

Attorney Docket No. 740756-1930

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NOV 15 2001
TECHNICAL CENTER 2800

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-2380

Deposit Account Name Nixon Peabody LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims 149 154= 0 X Fee from below = Fee Paid 0

Independent Claims 20 *20= 0 X Fee from below = Fee Paid 0

Multiple Dependent =

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over

original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

- 0 -

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code Small Entity Fee Code Fee Description Fee Paid

105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English transaction	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110.00
116	390	216	195	Extension for reply within second month	
117	890			Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.29(b))	
179	710	249	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$110.00

SUBMITTED BY

Name (Print/Type) Eric J. Robinson

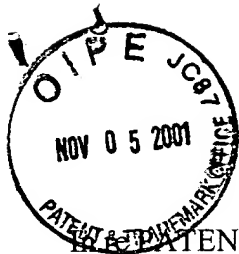
Registration No. 38,285
(Attorney/Agent)

Complete (if applicable)

Telephone 703-790-9110

Signature

Date November 5, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECHNOLOGY CENTER 2800
#187/2

PATENT application of:

Shunpei YAMAZAKI et al.

Serial No. 09/239,948

Filed: 01/29/1999

For: LASER PROCESSING METHOD, METHOD)

FOR FORMING A FLASH MEMORY, INSULATED)

GATE SEMICONDUCTOR DEVICE AND METHOD)

Attention: Application Processing Division

Attn: Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of Patents

Washington, D.C. 20231

Sir:

The Filing Receipt received in the above-identified application has errors thereon due to Patent Office error as shown in red on the attached copy.

In view of the above, it is requested that a Corrected Filing Receipt be issued.

Respectfully submitted,

Luan C. Do
Registration No. 38,434

Nixon Peabody LLP
8180 Greensboro Drive, Suite 800
McLean, Virginia 22102
(703) 790-9110



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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/239,948	01/29/1999	2811	4498	0756-1930	8	16	8

CONFIRMATION NO. 6729

CORRECTED FILING RECEIPT



OC000000006803343

 SIXBEY FRIEDMAN LEEDOM & FERGUSON
 2010 CORPORATE RIDGE
 SUITE 600
 MCLEAN, VA 22102


Date Mailed: 09/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 SHUNPEI YAMAZAKI, TOKYO, JAPAN;
 YASUHIKO TAKEMURA, KANAGAWA, JAPAN;

Domestic Priority data as claimed by applicant

 THIS APPLICATION IS A DIV OF 08/847,314 04/23/1997 PAT 5,965,915
 AND A DIV OF 08/692,227 08/07/1996 PAT 5,789,292
 WHICH IS A DIV OF 08/355,652 12/14/1994 PAT 5,569,615
 WHICH IS A DIV OF 08/142,048 10/28/1993 PAT 5,541,138

Foreign Applications

JAPAN 4-316138 10/30/1992

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If Required, Foreign Filing License Granted 09/27/2001

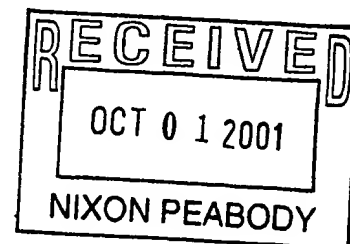
Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

LASER PROCESSING METHOD, METHOD FOR FORMING A FLASH MEMORY, INSULATED


 MC
 10/11/01



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Bib Data Sheet

CONFIRMATION NO. 6729

SERIAL NUMBER 09/239,948	FILING DATE 01/29/1999 RULE	CLASS 257	GROUP ART UNIT 2811	ATTORNEY DOCKET NO. 0756-1930
APPLICANTS SHUNPEI YAMAZAKI, TOKYO, JAPAN; YASUHIKO TAKEMURA, KANAGAWA, JAPAN;				
** CONTINUING DATA ***** THIS APPLICATION IS A DIV OF 08/847,314 04/23/1997 PAT 5,965,915 AND A DIV OF 08/692,227 08/07/1996 PAT 5,789,292 WHICH IS A DIV OF 08/355,652 12/14/1994 PAT 5,569,615 WHICH IS A DIV OF 08/142,048 10/28/1993 PAT 5,541,138				
** FOREIGN APPLICATIONS ***** JAPAN 4-316138 10/30/1992				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/27/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		STATE OR COUNTRY JAPAN	SHEETS DRAWING 8	TOTAL CLAIMS 16
				INDEPENDENT CLAIMS 8
ADDRESS NIXON PEABODY LLP 8180 GREENBORO DRIVE SUITE 800 MCLEAN, VA 22102				
TITLE A MEMORY DEVICE HAVING A FLOATING GATE				
FILING FEE RECEIVED 4498	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	